THE DOT PLOT: A GRAPHICAL DISPLAY FOR LABELED QUANTITATIVE VALUES

William G. Jacoby
Department of Political Science
Michigan State University
303 South Kedzie Hall
East Lansing, MI 48824
E-mail: jacob@msu.edu
Web: http:\polisci.msu.edu\jacob

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The dot plot is an extremely useful tool for obtaining pictorial representations of quantitative information. This display method is very flexible and potentially applicable to any situation where numeric values are associated with descriptive labels. For example, dot plots can be used to depict raw data, frequency counts, descriptive statistics, and parameter estimates from statistical models. A carefully constructed dot plot contains an enormous amount of information. More important, a dot plot can convey that information in a way that overcomes some of the problems frequently encountered with other graphical displays.

**DEFINITION AND EXAMPLES**

A dot plot is a two-dimensional graphical display of objects, showing some quantitative characteristic of those objects. One axis of the dot plot (usually the horizontal) is a scale covering the range of quantitative values to be plotted. The other axis (usually the vertical) shows descriptive labels that are associated with each of the numeric values. The data objects usually are sorted according to the quantitative values. Plotting symbols are placed within the display area of the dot plot, locating each data object at the intersection position for its label on the vertical axis and associated numeric value on the horizontal axis. While this simple definition covers the basic features of the dot plot, it is important to emphasize that the utility and flexibility of such a display come from the details that are included in its construction. Let us consider several examples that will illustrate the dot plot’s various features and advantages.

The simplest application of the dot plot is to display the empirical distribution of values on a single variable. Figure 1 shows a dot plot of policy priority scores for the American states in 1992. This is an interval-level variable obtained from an unfolding analysis of the states’ proportionate spending levels across fifteen program areas (Jacoby and Schneider 2001). Larger values on this variable indicate that a state spent more on a set of policies that Jacoby and Schneider labeled “collective goods” such as highways, parks, and law enforcement. Smaller values correspond to more spending on “particularized benefits,” including welfare, health care, and employment security.
Figure 1 is very easy to interpret. The labels in the margin of the vertical axis are the state names. The spending priority score for each state can be determined from the horizontal position of the plotted point within that row: The farther to the right, the larger the data value (i.e., higher spending on collective goods); the farther to the left, the lower the data value (i.e., more spending on particularized benefits).

Note the efficiency with which the information is presented in Figure 1. The horizontal dotted lines facilitate table look-up without being too intrusive on the data points. And, because the observations are sorted by the data values, the graph is, effectively, a transposed quantile plot. Therefore, the display provides information about the shape of the distribution. It is also very easy to obtain visual estimates of the “important” quantiles, such as the median (the value that occurs at the midpoint along the vertical axis), the quartiles (the values that occur one-fourth of the way in from the top and bottom of the graph), and the extremes (the first and last values along the vertical axis). Thus, a dot plot enables the analyst to see both “the forest” (i.e., the distribution) and “the trees” (i.e., the individual observations).

Figure 2 illustrates a variation of the basic dot plot, showing state education spending for fiscal year 2000, in dollars per capita. This display provides exactly the same kind of information as Figure 1. But here, the varying-length horizontal lines emphasize the shape of the point array (and, hence, of the distribution, itself) more clearly. Note also that the dashed lines in Figure 2 all emanate from the zero point on the horizontal axis. Therefore, the line lengths for different states can be compared to facilitate magnitude judgments about the data values.

The basic dot plot data display can be adapted very easily to allow comparisons across subgroups of observations. For example, Figure 3 is a divided dot plot, showing individual state policy priority scores within separate regions. Again, a great deal of information can be extracted from this display. The data values are sorted within the regions. The order of the regions, themselves, within the plot is determined by their respective medians. Intra-region variability can be assessed through the slope of the point array for a particular region, or
through the spread of its points along the horizontal axis. And, the graphical display makes it easy to see potentially misleading elements of the data, such as within-region outliers, that might affect the calculated values of region-specific summary statistics.

Dot plots are certainly not limited to displays of raw data. They can also be used very effectively to show quantitative summaries of data values within partitions of an overall dataset either across subsets of the observations or across separate variables (or both). As an example, Figure 4 uses data from the 2004 CPS National Election Study to show the mean importance ratings that survey respondents assigned to ten issues (scored on a one-to-five scale, with larger values indicating the issue is more important). The symmetric horizontal “wings” around each plotted point correspond to the 95% confidence interval for each mean. Horizontal reference lines are omitted from this dot plot, not only because of the relatively small number of points, but also because they would interfere with visual perception of the error bars.

The dot plots presented here should hint at the variety of ways this kind of display can be used. Of course, there are many other possibilities. For example: The plotted points could be arranged according to some substantive scheme, rather than sorted by the data values; repeated measures of a variable could be handled by including more than one plotting symbol on each horizontal line; parameter estimates from statistical models (e.g., cell frequencies from a contingency table or coefficients from a regression equation) could be displayed; and so on. Regardless of specific context, the “trick” in constructing an effective dot plot lies in adjusting the details to facilitate the kinds of judgments that the analyst wants readers to make when interpreting the graphical information in the display.

**ADVANTAGES OF DOT PLOTS**

Dot plots are covered extensively in Cleveland’s work (e.g., 1993; 1994) and my own monograph (Jacoby 1997) on statistical graphics. However, it is definitely accurate to say that the dot plot is a relatively uncommon graphical display in the political science research.
literature. This is unfortunate, because dot plots have some clear advantages over their “competitors” for displaying labeled data: pie charts and bar charts.

First, there is a simple, practical advantage: Dot plots can show a larger number of data points than either pie charts or bar charts. A dot plot can include a surprisingly large number of points—it is really only limited by the space available in the display medium. Pie charts are limited to a fairly small number of distinct “wedges;” otherwise, visual perception of the quantitative information becomes nearly impossible. With bar charts, the width of the bars necessarily becomes narrower as the number of distinct data values increases. In fact, with a large number of plotted values, a bar chart becomes virtually indistinguishable from a dot plot.

A second, theory-based, advantage is that dot plots facilitate relatively accurate graphical perception. Visual processing of a pie chart requires the observer to make comparative judgments about the angles, arcs, and/or sizes of the various wedges within the circular diagram. In contrast, a dot plot only involves comparisons of point locations along a common scale. Cleveland and McGill (1984) show that the latter task is usually carried out much more accurately than the former.

With bar charts, a different problem emerges. A bar chart should be interpreted using the relative heights (or widths) of the bars along a common scale. But, Cleveland (1984) argues that bar charts actually encourage observers to make judgments based upon the relative sizes of the bars within the display. And, if the scale in the bar chart begins at some arbitrary value, then it is inappropriate to regard the lengths and/or areas of the bars as any sort of meaningful information about the relative magnitudes of the quantitative values being displayed in the chart.

A properly-constructed dot plot avoids these problems by either extending the horizontal reference lines across the entire width of the plotting region (as in Figures 1 and 3) or omitting them entirely (as in Figure 4). In either case, the display provides no visual cues that would encourage inappropriate judgments about the relative sizes of the data values. If
magnitude judgments are appropriate for the data, then the reference lines in a dot plot can be extended from the origin of the scale out to the plotted data values (as in Figure 2).

A third potential advantage emerges when a dot plot is used to display the distribution of values on a single variable. As explained earlier, such a display can be viewed as a transposed quantile plot. It shows all of the data and, therefore, provides a particularly accurate depiction of distributional shape. Just as with a quantile plot, a dot plot avoids potential distortions that may be introduced by the binning process required to construct a more traditional histogram.

**CREATING DOT PLOTS IN R**

Most statistical software can be used to generate dot plots. STATA, SPSS, and SYSTAT all have routines that are either explicitly designed, or easily adapted, for this purpose. Friendly (1991) provides macros that create dot plots in SAS. But, as is often the case, R provides the most powerful facility and flexibility for constructing this kind of graphical display (R Development Core Team 2006). In the discussion below, I will focus on the `dotplot` function in the `lattice` package (Sarkar 2006). Note, however, that most of the displays could also be produced with the `dotchart` function of the traditional R graphics system.

**General Principles**

In order to produce a dot plot of values stored in variable $x$, with labels in variable $y$, and both $x$ and $y$ contained in an R data frame called `dataset`, one could use the following R commands:

```r
> library(lattice)
> dotplot(y ~ x, data = dataset, other optional arguments)
```

The first statement loads the `lattice` package. The second statement calls the `dotplot` function. Since the results of the function call are not assigned to an object, they are listed
on the current output device (probably a window in the screen display). The only required argument in the `dotplot` function is `y ~ x`. This is actually a simple formula in the R modeling language; it will produce a dot plot with the labels from `y` listed along the vertical axis, and corresponding points located at the proper horizontal location according to their respective `x` values. The `data` argument is optional, but, it is very convenient specifying the data frame containing `x` and `y`.

Before proceeding to specific examples, it is useful to consider several general principles about the lattice package and the `dotplot` function. First, trellis graphs (i.e., the type produced by the `lattice` package) are created by issuing a *general display function* which, in turn, calls a *panel function*. The general display function sets up the exterior components of the graph (i.e., axes, scales, titles, and so on) while the panel function deals with everything within the plotting region, itself (i.e., points, reference lines, etc.). In the present context, general display function `dotplot` calls panel function `panel.dotplot`. Distinguishing between these two components is important for specifying non-default parameter values in a graph (e.g., axis labels, plotting symbols, line characteristics, and so on).

Second, the `lattice` package effectively regards a dot plot as a scatterplot between a categorical variable (or a `factor` in R nomenclature) and a quantitative variable (a `vector` in R-speak). It is very useful to keep this in mind when trying to produce non-standard displays and also when trying to understand why the `dotplot` function sometimes produces strange and unexpected results!

Third, R defaults to an alphabetical ordering of the levels (i.e., the unique values) within a factor. This is usually problematic for dot plots because data values are typically random with respect to such an arrangement. Therefore, the plotted points in a dot plot created with an alphabetized factor would look like a shapeless “cloud.” In order to prevent this from occurring, it is usually necessary to change the order of the factor’s levels before calling the `dotplot` function. Given the factor, `y` and the quantitative variable, `x` (both contained
in the data frame called \textit{dataset}, the \texttt{reorder} function can be used to sort the factor’s levels so that they are ordered according to the values of the variable:

\begin{verbatim}
> dataset$y <- reorder(dataset$y, dataset$x)
\end{verbatim}

Note the use of the fully-qualified names (i.e., for each variable, the data frame is given first, followed by the dollar sign and the variable name) in the preceding R command. This is necessary in order to direct R to the proper data frame and also to guarantee that the newly-sorted factor is added to that data frame (thereby replacing the original version of factor \textit{y}), rather than left as a separate object.

\section*{R Commands for Specific Examples}

Let us begin by reproducing the dot plot shown back in Figure 1. The data are contained in an R data frame called \textit{policy}, which contains two variables: \textit{state} and \textit{priority} for the state names and policy priority scores, respectively. Figure 1 is created with the following statements:

\begin{verbatim}
> policy$state <- reorder(policy$state, policy$priority)
> dotplot(state ~ priority, data = policy,
+ aspect = 1.5,
+ xlab = "State policy priority scores, 1992",
+ scales = list(cex = .6),
+ panel = function (x, y) {
+ panel.dotplot(x, y, col = "black", lty = 2))
\end{verbatim}

The first statement sorts the levels of the factor, \textit{state}, by the values of the vector, \textit{priority}. The second statement (which extends across six lines) calls the \texttt{dotplot} function. The first line of the function should be self-explanatory. The next three lines contain arguments that are part of the general display function. First, \texttt{aspect} sets the aspect ratio, so that the height of the graph is one and one-half times the size of the width (the default is an aspect ratio of 1.0). Next, \texttt{xlab} provides a label for the horizontal axis (the default is the variable name, which is often uninformative to readers). The \texttt{scales} argument provides a list; in this case,
the list only contains a single element which uses the `cex` argument to set the size of the tick labels on the axes to 60% of their default size (otherwise, the state names would collide along the vertical axis).

The `panel` argument explicitly creates the panel function for this graph, by modifying the default elements of `panel.dotplot`. In this panel function, “x” and “y” are the horizontal and vertical axis variables in the dotplot (i.e., `priority` and `state`, respectively)— they are passed to the panel function from the general display function. The `col` argument sets the color of the plotting symbols (the default color is blue) and the `lty` argument (for “line type”) specifies dashed horizontal lines, rather than the default lines (which are solid).

In fact, `panel.dotplot` actually calls two further panel functions: `panel.xyplot` to control the plotting symbols and `panel.abline` to control the reference lines. So, we could bypass `panel.dotplot` entirely and use the following function call to produce Figure 1:

```r
> dotplot(state ~ priority, data = policy,
+   aspect = 1.5,
+   xlab = "State policy priority scores, 1992",
+   scales = list(cex = .65),
+   panel = function (x, y) {
+     panel.abline(h = as.numeric(y), col = "gray", lty = 2)
+     panel.xyplot(x, as.numeric(y), col = "black", pch = 16))
```

Here, “as numeric(y)” appears as an argument to both `panel.xyplot` and `panel.abline`. This specification coerces the vertical-axis variable into a numeric vector; the result is the ordering of the factor’s levels. This enables `panel.xyplot` to treat the graph as a simple scatterplot. And, in `panel.abline`, the “h = as.numeric(y)” instruction places a horizontal line at each distinct location along the vertical axis. The remaining arguments in the panel functions should be fairly obvious.

In recreating Figure 1, the explicit use of the panel functions was not really necessary. Instead, the `col` and `lty` arguments simply could have been included as part of the `dotplot` general display function; in that case, `dotplot` would pass them along to the panel function when it is implicitly (but invisibly) called to construct the plotting region of the display.
However, there are many situations where explicit use of the panel function is necessary in order to modify the default specifications of the \texttt{dotplot} function.

For example, assume that we want to construct a dot plot of a ratio-level variable, with horizontal reference lines that only extend from the origin to the plotted points. This is problematic, because \texttt{panel.dotplot} creates lines that extend across the entire width of the plotting region. The easiest way to change this is to modify the panel functions. To show how this is done, we will recreate the display from Figure 2. The data are contained in an R data frame called \texttt{spending} which contains the factor \texttt{state} and the vector \texttt{educ.per.cap}. The former are the state names, and the latter are the 2000 education expenditures from each state. The following R statements produce the dot plot in Figure 2:

```r
> spending$state <- reorder(spending$state, spending$educ.per.cap)
> dotplot(state ~ educ.per.cap, data = spending,
  + aspect = 1.5,
  + scales = list(cex = .65),
  + xlim = c(-100, 2300),
  + xlab = "State education spending, fiscal year 2000",
  + panel = function (x, y) {
    +     panel.segments(rep(0, length(x)), as.numeric(y),
    +                  x, as.numeric(y), lty = 2, col = "gray")
        +     panel.xyplot(x, as.numeric(y), pch = 16, col = "black")
  +   })
```

Once again, the first statement sorts the levels of the factor (\texttt{state} in this case) by the values of the quantitative vector (here, \texttt{educ.per.cap}). In the call to \texttt{dotplot}, the \texttt{xlim} argument specifies the range of values for the horizontal axis. Here, the minimum is set to -100 in order to provide a small margin to the left of the reference lines within the plotting region.

Turning to the panel function, the first two arguments to \texttt{panel.segments} are vectors containing the horizontal and vertical coordinates of the starting positions for the line segments. The \texttt{rep} function creates a vector of zeroes. The size of this vector is equal to the number of values being plotted (i.e., \texttt{"length(x)"}). So, this vector contains the horizontal coordinate for the starting point of each observation’s line segment (i.e., they all begin at zero). The third and fourth arguments to \texttt{panel.segments} are vectors containing the coordi-
nates of the terminal points for the line segments. The horizontal coordinate of the terminal point for each observation is simply the value of the variable being plotted (i.e., \( x \)). The vertical coordinates for the initial and terminal points of the line segments are both supplied by \texttt{as.numeric(y)}.

Next, \texttt{panel.xyplot} plots the data points, themselves. Once again, the function replaces \texttt{"x"} and \texttt{"y"} with the actual variable names, which are passed from the general display function. The \texttt{pch = 16} specification sets the plotting symbol to a solid circle. The remaining arguments in both the general display function and the panel function should be self-explanatory.

Next, we will recreate the divided dot plot shown back in Figure 3. Producing this kind of display with the \texttt{dotplot} function is a bit tricky and it involves as much work in preparing the dataset as it does in specifying the function. The data are contained in a data frame called \texttt{regions}; the contents of the text file used to create this data frame are shown in Table 1. The first line is a header record, giving the variable names. The observations are grouped by region and, within each region, they are sorted by values of the \texttt{priority} variable. Following the last observation for each region, there is a “dummy” observation. In each one, the value of \texttt{state} is the region name and the value of \texttt{priority} is -9. The latter is an arbitrary, meaningless, value which falls outside the actual range of the variable.

The first step in creating the divided dot plot is to fix the order of the factor, \texttt{state}, to that shown in Table 1. This can be accomplished by using the following R commands:

\begin{verbatim}
> regions$sequence <- seq(1, length(regions$priority))
> regions$state <- reorder(regions$state, regions$sequence)
\end{verbatim}

This newly-ordered factor is employed as the vertical axis variable in the dot plot, as follows:

\begin{verbatim}
> dotplot(state ~ priority, data = regions,
+    aspect = 1.5,
+    xlab = "State policy priority scores, 1992",
+    xlim = c(.49, .55),
+    scales = list(cex = .65),
\end{verbatim}

10
+ panel = function (x, y) {
+   panel.dotplot(x[x > 0], y[x > 0],
+     pch = 16, col = "black", lty = 2)} }

Most elements of the preceding function should be familiar to the reader. The only new technique is the use of logical conditions within the square brackets following the x and y variables specified in panel.dotplot. The panel function will only carry out the plotting tasks for those observations where the condition is true. Since the region labels were given values of -9 on the priority variable (i.e., x in panel.dotplot), the condition is false for those observations. Therefore, no horizontal lines or data points are plotted in those cases.

The panel function only affects the interior of the plotting region. Therefore, all 52 levels of the factor, state (i.e., the 48 state names plus the four region names), still appear along the vertical axis (which is, of course, outside the plotting region). In this case, it is important to specify the xlim argument so that it just contains the legitimate values of the priority variable. Otherwise, the general display function for dotplot would regard the nonsense value, -9, as the minimum value of priority for purposes of constructing the horizontal axis.

As our final example, we will examine the R commands used to create Figure 4, the dot plot of ten sample means with error bars representing confidence intervals. R makes it very easy to pass information from a statistical analysis over to a graphing function, with a minimum of manual copying or cutting-and-pasting. Assume that the raw data used to calculate the statistics plotted in Figure 4 are contained in a data frame, import.2004, with 1212 rows (i.e., the sample size for the 2004 NES) and ten columns (one for each of the importance ratings). The task is complicated a bit by the presence of missing values (coded as NA’s) within the data. Descriptive labels for the ten variables in import.2004 are contained in the separate vector, var.labels. The means and confidence intervals for the dot plot are created with the following statements:

```r
> sample.means <- mean(import.2004, na.rm = T)
> std.devs <- sd(import.2004, na.rm = T)
> sample.ns <- apply(!is.na(import.2004), 2, sum)
```
> std.errs <- std.devs / (sample.ns ^ .5)
> lower <- sample.means + (std.errs * qt(.025, (sample.ns - 1)))
> upper <- sample.means + (std.errs * qt(.975, (sample.ns - 1)))
> new.data <- data.frame(var.labels, sample.means, lower, upper)
> new.data$var.labels <- reorder(new.data$var.labels, 
+ new.data$sample.means)

The first two statements use R’s statistical functions to calculate the column means and standard deviations from data frame `import.2004`; in each case, the result is a ten-element vector. The next statement determines the number of nonmissing observations within each column. The ten-element `sample.ns` vector is created by using the `apply` function to sum within the columns of a logical matrix created by the argument `!is.na(import.2004)`. The latter matrix is the same size as `import.2004`. It has value TRUE in the cells that correspond to nonmissing data in `import.2004`, and FALSE in the cells that correspond to missing data. Now, TRUE evaluates to one and FALSE to zero in the `sum` function). So, summing within the columns of this logical matrix produces the number of nonmissing values on each of the ten variables.

The `std.errs` vector contains the standard errors of the sample means, created by dividing the elements of the `std.devs` vector by the square roots of the elements in the `sample.ns` vector. The lower and upper bounds of the confidence intervals for the respective means are obtained by adding the product of the standard errors and the appropriate t values (obtained using the `qt` function) to the means. Next, the `data.frame` function concatenates the vectors of variable labels, sample means, and the limits of the confidence intervals into a new data frame, called `new.data`. Finally, the levels of the factor `var.labels` are ordered according to the sample means, using the `reorder` function. The display in Figure 4 is produced by the following call to `dotplot`:

```r
> dotplot(var.labels ~ sample.means, data = new.data, 
+ aspect = 1.5,
+ xlim = c(3.3, 4.3),
+ xlab = "Mean importance rating",
+ panel = function (x, y) {
```
Once again, we use `panel.xyplot` rather than `panel.dotplot` in order to eliminate the horizontal reference lines. The `panel.segments` function draws the error bars using the variables `lower` and `upper` as the horizontal coordinates for the ends of the line segments. Note that the fully-qualified variable names must be specified, since the general display function does not pass the name of the data frame from the `data` argument to the panel function.

**FURTHER RESOURCES AND CONCLUSIONS**

Hopefully, the examples presented above will help readers use the R statistical computing environment in order to generate not only basic dot plots, but also more complex versions of this graphical display. As with any set of specific examples, those provided here only scratch the surface of a potentially vast subject. For that reason, further documentation would be very helpful. As a starting point, there are a number of relevant materials on my own web site, including a longer version of this article, a number of datasets, and R scripts to produce not only the dot plots discussed here but also many others as well. The URL is:

```
http://polisci.msu.edu/jacoby/
```

More generally, the most convenient source of information is the R online help system. However, many users find the help files for `lattice` functions to be a bit terse. As a more user-friendly alternative, the *S-Plus Trellis Graphics User’s Manual* is an excellent guide to the entire trellis system and its general usage. Another extremely helpful source of information is “A Tour of Trellis Graphics,” by Richard A. Becker, William S. Cleveland, Ming-Jen Shyu, and Stephen P. Kaluzny. This paper expands upon the basic information provided in the *User’s Manual* and provides detailed examples illustrating how to create and modify trellis graphs. While these documents were written for the trellis graphics system in the
commercially-available S-Plus computing environment, virtually all of their content applies directly to the lattice package in R, as well. Both are available on the Trellis Display web site:

http://cm.bell-labs.com/cm/ms/departments/sia/project/trellis/

I have also included copies of these two documents on my own web site. Still another source of information is the book, R Graphics, by Paul Murrell. This is a general reference work which provides comprehensive and readily-accessible treatment of both the traditional and the grid (which contains the lattice package) graphics systems in R. Finally, John Fox’s book, An R and S-Plus Companion to Applied Regression, provides an enormous amount of information and advice about working with the statistical and graphics functions in R.

In conclusion, dot plots are excellent graphical displays for labeled quantitative data values. They contain a great deal of information, are easy to interpret, and overcome a number of the problems associated with other kinds of displays. Dot plots are also extremely flexible; they can be modified in various ways to handle many different data analysis situations. They are useful both for analytic purposes (to paraphrase Tukey, they show the researcher features that he/she never expected to see) and for presentational displays (i.e., they guide the observer to perceive the researcher’s conceptions of the most important features in the data). For all of these reasons, dot plots (along with the requisite programming knowledge to create them) constitute a very useful addition to the methodologist’s ”toolbox.”
REFERENCES


Table 1: Listing of text file used to create R data frame, *regions*, and then used to generate dot plot in Figure 3.

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**Figure 1:** Dot Plot of 1992 State Policy Priority Scores.

**Data Source:** Jacoby and Schneider (2001).
Figure 2: Dot Plot of State Education Spending, 2000 (in dollars per capita).

Data Source: State Government Finances.
**Figure 3:** Dot Plot of 1992 State Policy Priority Scores, by Region.

**Data Source:** Jacoby and Schneider (2001).
Figure 4: Dot Plot of Mean Issue Importance Ratings, 2004 CPS National Election Study.

Note: Error Bars Represent 95% Confidence Intervals Around the Respective Mean Values.